

ATRS Request for Taxpayer Identification Number (TIN) and Certification

Name (Last, First, Initial) _____

SSN _____ Birthdate ____/____/____

Address _____

City _____ State _____ Zip _____

Telephone Number (____) _____ Alternate Number (____) _____

Email Address (optional) _____

The TIN provided must match the given name above. For individuals, this is your social security number (SSN). For other entities, it is the Taxpayer Identification Number that has been assigned to the Estate, Trust or Business Entity. Please check the appropriate box and enter your TIN.

Individual

| | | | | | | | | | | | |
|------------------------|--|--|--|---|--|--|---|--|--|--|--|
| | | | | - | | | - | | | | |
| Social Security Number | | | | | | | | | | | |

Trust, Estate, Business

| | | | | | | | | | | |
|--------------------------------|--|---|--|--|--|--|--|--|--|--|
| | | - | | | | | | | | |
| Employee Identification Number | | | | | | | | | | |

Certification

Under penalties of perjury, I certify that:

- 1 The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- 2 I am a U.S. Citizen or other U.S. person (defined below).

Certification instructions

You must provide your correct TIN. See instructions on the next page.

Definition of a U.S. Person. For federal tax purposes, you are considered a U.S. person if you are:

- * An individual who is a U. S. Citizen or U. S. resident alien,
- * A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- * An estate (other than a foreign estate), or
- * A domestic trust (as defined in Regulations section 301.7701-7).

Signature _____ Date _____

What Name and Number To Give the Requestor

For this Type of Account:

Give the name and SSN of:

| | |
|---|---|
| 1. Individual | The individual |
| 2. Two or more individuals (joint account) | The actual owner of the account or, if combined funds, the first individual on the account ¹ |
| 3. Custodian account of a minor (Uniform Gift to Minors Act) | The minor ² |
| 4. a. The usual revocable savings trust (grantor is also trustee) | The grantor-trustee ¹ |
| b. So-called trust account that is not a legal or valid trust under state law | The actual owner ¹ |
| 5. Sole proprietorship or disregarded entity owned by an individual | The owner ³ |
| 6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A)) | The grantor* |

For this Type of Account:

Give the name and EIN of:

| | |
|---|---------------------------|
| 7. Disregarded entity not owned by an individual | The owner |
| 8. A valid trust, estate, or pension trust | Legal entity ⁴ |
| 9. Corporation or LLC electing corporate status on Form 8832 or Form 2553 | The corporation |
| 10. Association, club, religious, charitable, educational, or other tax-exempt organization | The organization |
| 11. Partnership or multi-member LLC | The partnership |
| 12. A broker or registered nominee | The broker or nominee |
| 13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments | The public entity |
| 14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B)) | The trust |

¹List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

²Circle the minor's name and furnish the minor's SSN.

³You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see Special rules for partnerships on page 1.

***Note.** Grantor also must provide a Form W-9 to trustee of trust.